



# Heritage Provider Network & Affiliated Medical Groups

## FDR Compliance Attestation

FDR Name and Address: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

*(First Tier, Downstream and Related Entities (FDR), please complete, sign, and fax this Attestation)*

In recognition of FDR’s status and role as a covered entity, contracted with Heritage Provider Network and its Affiliated Medical Groups (“HPN”), FDR attests to the following statements:

FDR has in place an effective compliance program, meeting CMS standards to detect, prevent, and report instances of Fraud, Waste, and Abuse (“FWA”), other non-compliance, or Health Insurance Portability and Accountability Act (“HIPAA”) Privacy or Security issues;

FDR screens all employees, officers, and vendors against the OIG/GSA Excluded Persons Lists prior to hire/contract, and monthly thereafter;

FDR and all staff engaged with treatment, administration, or support of CMS members, have completed all required initial new hire and annual trainings as follows:

- a. FDR and staff have completed the required CMS annual FWA training\* on (or before): \_\_\_\_\_/2019 (\*as required by 42 CFR 422.503 and 423.504); and
- b. FDR and staff have completed the CMS annual Medicare Compliance training\*, including Code of Conduct training, on (or before): \_\_\_\_\_/2019 (\*as required by 42 CFR 422.503 and 423.504).
- c. FDR and staff have completed HIPAA training on (or before): \_\_\_\_\_/2019.
- d. FDR and staff have completed the Model of Care (MOC) training on (or before): \_\_\_\_\_/2019 (only applicable to persons directly involved with patient care).
- e. FDR and staff have completed Cultural and Linguistics training on (or before): \_\_\_\_\_/2019.

FDR agrees to notify HPN’s Compliance Officer immediately upon discovery of any FWA, non-compliance, or suspected violation of the HIPAA, HITECH Act, Medicare Advantage, CMS regulations, or any other statute, regulation, and/or policy and procedure; and may do so by calling the Corporate Compliance Hotline at 855-625-7894 or emailing [corporatecompliance@heritaged.com](mailto:corporatecompliance@heritaged.com).

FDR agrees to immediately disclose to HPN’s Compliance Officer any actual or potential conflicts of interests, as outlined in HPN’s Code of Conduct, should any arise.

FDR agrees to contact HPN’s Compliance Officer or Provider Relations when a staff member is no longer employed with FDR to ensure logon access to HPN networks/systems is appropriately disabled.

FDR understands that any privacy incident involving any Medi-Cal or Medicaid patient requires notice to HPN and the California Department of Health Services within 1 business day from discovery.

FDR understands that, upon HPN’s request, it agrees to provide HPN’s Compliance Officer with documentation to substantiate its screening, training, and/or compliance and privacy program activities.

I have completed the above and certify it as true and accurate, as of today, \_\_\_\_/\_\_\_\_/\_\_\_\_.

Signature: \_\_\_\_\_

[ ] Attached, please find a roster of our credentialed staff members or contracted individual providers, for whom we are attesting on behalf of (including names, license numbers, and NPI numbers).

**Please fax your completed form to: (661) 616-9546**



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FDR Name and Address: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

In recognition of FDR’s status and role as a covered entity, contracted with Heritage Provider Network and its Affiliated Medical Groups (“HPN”), FDR attests to the following statements:

f. FDR and staff have completed HPN Cyber Security Compliance on (or before): \_\_\_\_\_/2019.

\_\_\_\_\_

I have completed the above and certify it as true and accurate, as of today, \_\_\_\_/\_\_\_\_/\_\_\_\_.

Signature: \_\_\_\_\_

[ ] Attached, please find a roster of our credentialed staff members or contracted individual providers, for whom we are attesting on behalf of (including names, license numbers, and NPI numbers).

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